O'FER TO A MODALTTAL	Complete if Known			
O'FEB TRANSMITTAL	Application Number	10/039,622		
	Filing Date	December 31, 2001		
NOV 2 9 2004 for FY 2004	First Named Inventor	Dennis W. Vance		
Pater rees are subject to annual revision.	Examiner Name	Joshua L. Pritchett		
Applicant claims small entity status. See 37 CFR 1.27	Art Unit	2872		
TOTAL AMOUNT OF PAYMENT \$	Attorney Docket No.	18590-06192		

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)			
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None ☐ Deposit Account:	3. ADDITION	IAL FEES		
Deposit Account Number 19-2555	Large Entity	Small Entity	Fee Description	Fee Paid
Deposit Account Name Fenwick & West LLP	Fee Fee Code (\$)	Fee Fee Code (\$)		
The Commissioner is authorized to: (check all that apply)	1051 130	2051 65	Surcharge - late filing fee or oath	
☐ Charge fee(s) indicated below ☒ Credit any overpayments	1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
Charge all required fee(s) or any underpayment of fee(s) due	1053 130	1053 130	Non-English specification	
under 37 CFR §1.16 or §1.17 during the pendency of this application	1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
☐ Charge fee(s) indicated below, except for the filing fee	1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
	1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
to the above-identified deposit account.				
FEE CALCULATION	1251 110	2251 55	Extension for reply within first month	
1. BASIC FILING FEE	1252 420	2252 210	Extension for reply within second month	
Large Entity Small Entity	1253 950	2253 475	Extension for reply within third month	
<u>Fee Fee Fee Fee Fee Description</u> Fee Paid	1254 1,480	2254 740	Extension for reply within fourth month	
Code (\$) Code (\$)	1255 2,010	2255 1,005	Extension for reply within fifth month	
1001 770 2001 385 Utility filing fee	1401 330 1402 330	2401 165 2402 165	Notice of Appeal Filing a brief in support of an appeal	
1002 340 2002 170 Design filing fee 1003 530 2003 265 Plant filing fee	1402 330	2402 165	Request for oral hearing	
1003 530 2003 265 Plant filing fee 1004 770 2004 385 Reissue filing fee	1403 290	1451 1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filing fee	1452 110	2452 55	Petition to revive – unavoidable	
SUBTOTAL (1) (\$)	1453 1,330	2452 55	Petition to revive – unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501 1,330	2501 665	Utility issue fee (or reissue)	
Extra Claims Fee from Fee Paid	1502 480	2502 240	Design issue fee	
Total Claims -20**= X =	1503 640	2503 320	Plant issue fee	
Independent Claims X =	1460 130	1460 130	Petitions to the Commissioner	
Multiple Dependent =	1807 50	1807 50	Processing fee under 37 CFR 1.17(g)	
Large Entity Small Entity	1806 180	1806 180	Submission of Information Disclosure Stmt	
Fee Fee Fee Fee Fee Description Code (\$)	8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1201 86 2201 43 Independent claims in excess of 3	1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1203 290 2203 145 Multiple dependent claim, if not paid	1801 770	2801 385	Request for Continued Examination (RCE)	
1204 86 2204 43 **Reissue independent claims over original patent	1802 900	1802 900	Request for expedited examination of a design application	
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	Other fee (spec	cify)		
SUBTOTAL (2) (\$) SUBTOTAL (3)				
or number previously paid, if greater; For Reissues, see above	•Reduced by Ba	sic Filing Fee Paid		

SUBMITTED BY				Complete (if applicable)
Name (Print/Type)	Jennifer R. Bush	Registration No. (Attorney/Agent)	50,784	Telephone: (650) 335-7213
Signature	CARBL		Date .	November <u>23</u> , 2004

+



0001(PTD) Rev. 10/95	U.S. Department of Patent and Trade		Application Number		10/039,622		
	F		Filing	Filing Date		December 31, 2001	
TRANSMITTAL FORM		First Named Inventor		Dennis W. Vance			
(to be used for all correspondence during pendency of filed application)		Group Art Unit Number		2872			
			Examiner Name		Joshua L. Pritchett		
Total Number of Pag	ges in This Submission	8	Attorney Docket Number		18590-06192		
	ENCL	OSURES	(ch	eck	all that apply	()	
Fee Transmittal Form (in duplicate) Check Enclosed Return Receipt Postcard Response to Notice to File Missing Parts Assignment & Recordation Cover Sheet Declaration Power of Attorney Application Data Sheet Information Disclosure Statement & PTO/SB/08A Copies of IDS Cited References Request for Corrected Filing Receipt Request for Correction of Recorded Assignment Amendment Under 37 C.F.R. §1.116 [5] Pages After Final Status Request Revocation and Substitute Power of Attorney			Check all that apply			(s) [] Board of Appeals and Group y Brief) coument(s)	
REMARKS:							
	SIGNAT	TURE OF	ΔΤΤ	ORM	JEY OR AGEN	JT	
SIGNATURE OF ATTORNEY OR AGENT Signature:							
Attorney/Reg. No.:	Jennifer R. Bush / Re	g. No. 50,78	34			Dated:	November 23, 2004
CERTIFICATE OF MAILING							
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pureyant to 37 CFR 1.10.							
Signature:	I CAR	She was a second					
Typed or Printed Nar		h				Dated:	November 23 2004
Express Mail Mailing	Express Mail Mailing Number (optional):						

At





IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANTS:

Dennis W. Vance and Charles Robert Wolfe

SERIAL NO.:

10/039,622

FILING DATE:

December 31, 2001

LIGHT TRANSMISSIVE FILTER HAVING ANISOTROPIC PROPERTIES

TITLE:

AND METHOD OF FABRICATION

EXAMINER:

Joshua L. Pritchett

GROUP ART UNIT:

2872

ATTY. DKT. NO.:

18590-06192

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop AF, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: November 23, 2004

By:

Jennifer R. Bush, Reg. No.: 50,784

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AMENDMENT UNDER 37 C.F.R. §1.116

Sir:

This amendment for the patent application identified above is in response to the Final Office Action dated September 24, 2004, which set a shortened statutory period for response that expires on December 24, 2004.